

CS F364: DESIGN & ANALYSIS OF ALGORITHMS

Lecture-03: Asymptotic Notation + Master Method



Dr. Kamlesh Tiwari,
Assistant Professor,

Department of Computer Science and Information Systems,
BITS Pilani, Rajasthan-333031 INDIA

Jan 21, 2017

(Campus @ BITS-Pilani Jan-May 2017)

Recap: Correctness of Algorithm

Correct initialization, maintaining invariance in each iteration and terminate

Recap: Correctness of Algorithm

Correct initialization, maintaining invariance in each iteration and terminate

Insertion Sort

- Items $A[1 .. j]$ are sorted

Recap: Correctness of Algorithm

Correct initialization, maintaining invariance in each iteration and terminate

Insertion Sort

- Items $A[1 .. j]$ are sorted

Merge sort

- Sub array $A[p..k-1]$ contains $k-p$ smallest elements of $L[1..n_1+1]$ and $R[1..n_2+1]$ in sorted order.
- Also $L[i]$ and $R[j]$ are the smallest elements of their array.

Asymptotic Notation Θ

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

- $\Theta(x) =$

Asymptotic Notation Θ

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

- $\Theta(x) = \{3x,$

Asymptotic Notation Θ

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

- $\Theta(x) = \{3x, 5x + 4, \dots\}$
- $\Theta(\log x) =$

Asymptotic Notation Θ

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

- $\Theta(x) = \{3x, 5x + 4, \dots\}$
- $\Theta(\log x) = \{4 \log x,$

Asymptotic Notation Θ

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

- $\Theta(x) = \{3x, 5x + 4, \dots\}$
- $\Theta(\log x) = \{4 \log x, 5 \log(x^3), \dots\}$

Asymptotic Notation Θ

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

- $\Theta(x) = \{3x, 5x + 4, \dots\}$
- $\Theta(\log x) = \{4 \log x, 5 \log(x^3), 5 \log(x^3) + 2, \dots\}$
- We write $5x + 4 = \Theta(x)$ to mean $5x + 4 \in \Theta(x)$

Asymptotic Notation Θ

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

O

$O(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$

Asymptotic Notation O

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

O

$O(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$

- $O(x) =$

Asymptotic Notation O

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

O

$O(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$

- $O(x) = \{3x, 5x + 4,$

Asymptotic Notation O

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

O

$O(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$

- $O(x) = \{3x, 5x + 4, 3\sqrt{x} + 4\}$

Asymptotic Notation O

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

O

$O(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$

- $O(x) = \{3x, 5x + 4, 3\sqrt{x} + 4, 3\sqrt{x} + 4 \log x\}$

Asymptotic Notation O

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

O

$O(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$

- $O(x) = \{3x, 5x + 4, 3\sqrt{x} + 4, 3\sqrt{x} + 4 \log x, 7, \dots\}$

Asymptotic Notation O

O

$O(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$

o

$o(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\}$

Asymptotic Notation o

O

$O(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$

o

$o(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\}$

- $O(x) = \{3x, 5x + 4, 3\sqrt{x} + 4, 3\sqrt{x} + 4 \log x, 7, \dots\}$

Asymptotic Notation O

O

$O(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$

o

$o(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\}$

- $O(x) = \{3x, 5x + 4, 3\sqrt{x} + 4, 3\sqrt{x} + 4 \log x, 7, \dots\}$
- $o(x) = \{3\sqrt{x} + 4, 3\sqrt{x} + 4 \log x, 7, \dots\}$

Asymptotic Notation o

O

$O(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$

o

$o(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\}$

- $O(x) = \{3x, 5x + 4, 3\sqrt{x} + 4, 3\sqrt{x} + 4 \log x, 7, \dots\}$
- $o(x) = \{3\sqrt{x} + 4, 3\sqrt{x} + 4 \log x, 7, \dots\}$

$$\lim_{n \rightarrow \infty} f(n)/g(n) = 0$$

Asymptotic Notation Ω

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

Asymptotic Notation Ω

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

- $\Omega(x) =$

Asymptotic Notation Ω

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

- $\Omega(x) = \{3x, 5x + 4,$

Asymptotic Notation Ω

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

- $\Omega(x) = \{3x, 5x + 4, 3x\sqrt{x} + 4\}$

Asymptotic Notation Ω

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

- $\Omega(x) = \{3x, 5x + 4, 3x\sqrt{x} + 4, 3x\sqrt{x} + 4 \log x\}$

Asymptotic Notation Ω

Θ

$\Theta(g(n)) = \{f(n) : \text{there exists positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

- $\Omega(x) = \{3x, 5x + 4, 3x\sqrt{x} + 4, 3x\sqrt{x} + 4 \log x, 5x^6 + 3x^4 + 5, \dots\}$

Asymptotic Notation ω

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

ω

$\omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}$

Asymptotic Notation ω

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

ω

$\omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}$

- $\Omega(x) = \{3x, 5x + 4, 3x\sqrt{x} + 4, 3x\sqrt{x} + 4 \log x, 5x^6 + 3x^4 + 5, \dots\}$
- $\omega(x) =$

Asymptotic Notation ω

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

ω

$\omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}$

- $\Omega(x) = \{3x, 5x + 4, 3x\sqrt{x} + 4, 3x\sqrt{x} + 4 \log x, 5x^6 + 3x^4 + 5, \dots\}$
- $\omega(x) = \{3x\sqrt{x} + 4$

Asymptotic Notation ω

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

ω

$\omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}$

- $\Omega(x) = \{3x, 5x + 4, 3x\sqrt{x} + 4, 3x\sqrt{x} + 4 \log x, 5x^6 + 3x^4 + 5, \dots\}$
- $\omega(x) = \{3x\sqrt{x} + 4, 3x\sqrt{x} + 4 \log x\}$

Asymptotic Notation ω

Ω

$\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

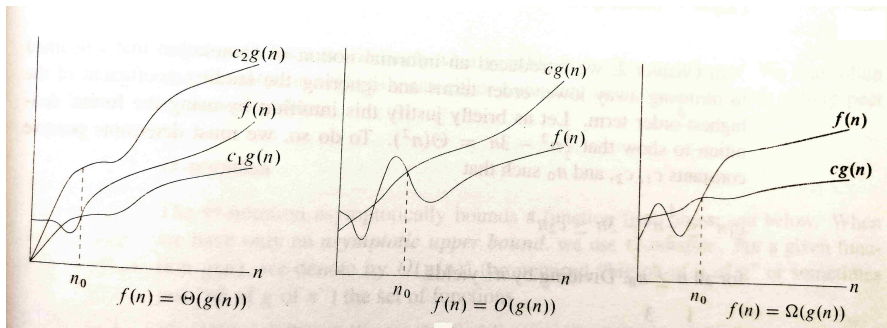
ω

$\omega(g(n)) = \{f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}$

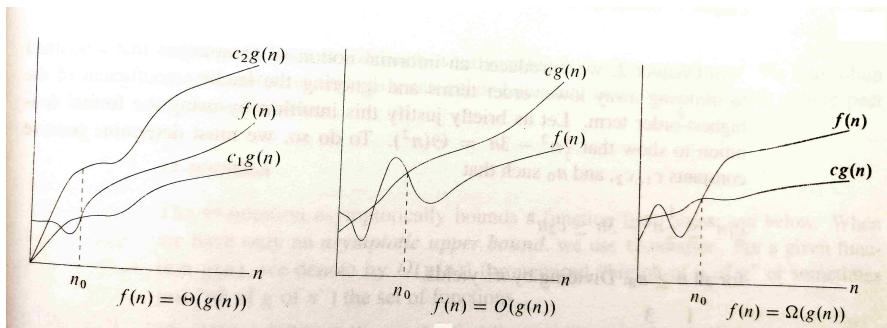
- $\Omega(x) = \{3x, 5x + 4, 3x\sqrt{x} + 4, 3x\sqrt{x} + 4 \log x, 5x^6 + 3x^4 + 5, \dots\}$
- $\omega(x) = \{3x\sqrt{x} + 4, 3x\sqrt{x} + 4 \log x, 5x^6 + 3x^4 + 5, \dots\}$

$$\lim_{n \rightarrow \infty} f(n)/g(n) = \infty$$

Intuition

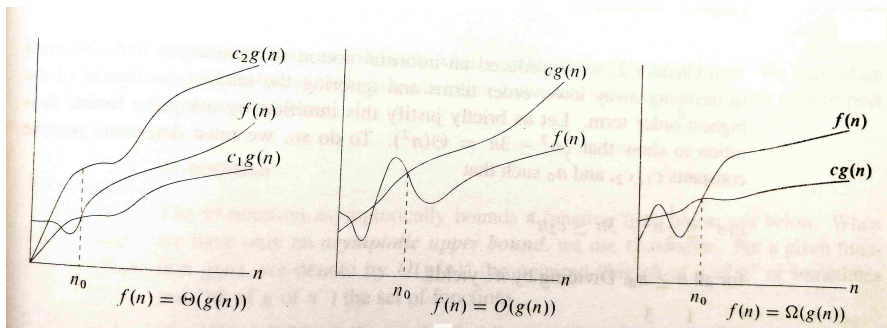


Intuition



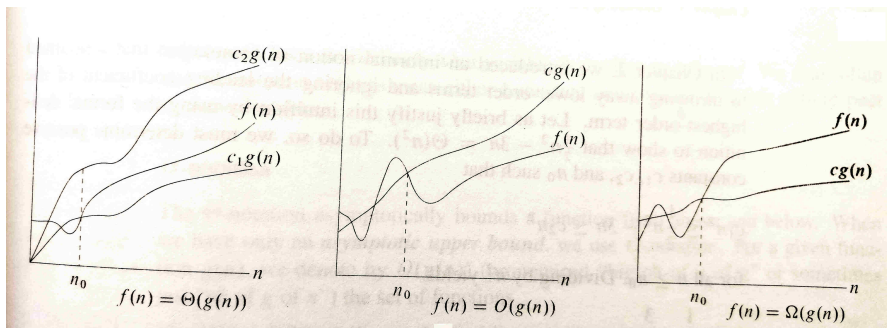
- Show $\max(f(n), g(n)) = \Theta(f(n) + g(n))$

Intuition



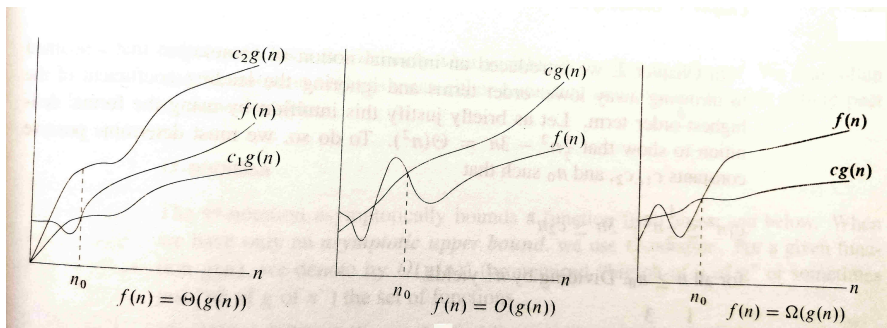
- Show $\max(f(n), g(n)) = \Theta(f(n) + g(n))$
- Show $(n + a)^b = \Theta(n^b)$

Intuition



- Show $\max(f(n), g(n)) = \Theta(f(n) + g(n))$
- Show $(n + a)^b = \Theta(n^b)$
- Is $2^{n+1} = O(2^n)$

Intuition



- Show $\max(f(n), g(n)) = \Theta(f(n) + g(n))$
- Show $(n + a)^b = \Theta(n^b)$
- Is $2^{n+1} = O(2^n)$
- $o(g(n)) \cap \omega(g(n))$ is an empty set
- Rank following functions based on their order of growth
 $\log \log n, 2^{\log n}, \sqrt{\log n}, e^n, n^{\log n}, 3n^3 + 5$

Recurrence relation

Equations of the form

$$T(n) = \begin{cases} \Theta(1) & \text{if } x \leq c \\ aT(n/b) + f(n) & \text{otherwise} \end{cases}$$

How to solve?

- 1 Substitution: guess the solution and test
- 2 Iteration: convert into summation and apply bounds
- 3 Master method

Substitution

Consider equation

$$T(n) = 2T(\lfloor n/2 \rfloor) + n$$

Let us guess the solution to be $T(n) = O(n \log n)$

$$T(n) \leq 2(c\lfloor n/2 \rfloor \log(\lfloor n/2 \rfloor)) + n \quad (1)$$

$$\leq cn \log(n/2) + n \quad (2)$$

$$= cn \log(n) - cn \log 2 + n \quad (3)$$

$$= cn \log(n) - cn + n \quad (4)$$

$$\leq cn \log(n) \quad (5)$$

As long as $c > 1$

Iteration

Consider equation

$$T(n) = 3T(\lfloor n/4 \rfloor) + n$$

$$T(n) = n + 3T(\lfloor n/4 \rfloor) \quad (6)$$

$$= n + 3(\lfloor n/4 \rfloor + 3T(\lfloor n/16 \rfloor)) \quad (7)$$

$$= n + 3(\lfloor n/4 \rfloor + 3(\lfloor n/16 \rfloor + 3T(\lfloor n/64 \rfloor))) \quad (8)$$

$$= n \sum_{i=0}^{\infty} (3/4)^i + \Theta(3^{\log_4 n}) \quad (9)$$

$$= 4n + o(n) \quad (10)$$

$$= O(n) \quad (11)$$

$$(12)$$

Iteration stops when $\lfloor n/4^i \rfloor = 1$ that is $i = \log_4 n$

Master method

When $T(n) = aT(n/b) + f(n)$ $a \geq 1, b > 1$

Let $\epsilon > 0$ be a constant

- If $f(n) = O(n^{\log_b a - \epsilon})$ then $T(n) = \Theta(n^{\log_b a})$
- If $f(n) = \Theta(n^{\log_b a})$ then $T(n) = \Theta(n^{\log_b a} \log n)$
- If $f(n) = \Omega(n^{\log_b a + \epsilon})$ then $T(n) = \Theta(f(n))$
provided if $af(n/b) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n .

Master method

When $T(n) = aT(n/b) + f(n)$ $a \geq 1, b > 1$

Let $\epsilon > 0$ be a constant

- If $f(n) = O(n^{\log_b a - \epsilon})$ then $T(n) = \Theta(n^{\log_b a})$
- If $f(n) = \Theta(n^{\log_b a})$ then $T(n) = \Theta(n^{\log_b a} \log n)$
- If $f(n) = \Omega(n^{\log_b a + \epsilon})$ then $T(n) = \Theta(f(n))$
provided if $af(n/b) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n .

Solve following recurrences

- $T(n) = 9T(n/3) + n$
- $T(n) = T(2n/3) + 1$
- $T(n) = 3T(n/4) + n \log n$
- $T(n) = 2T(n/2) + n \log n$

Thank You!

Thank you very much for your attention!

Queries ?